

SOUTH LAKELAND DISTRICT COUNCIL

South Lakeland House, Kendal, Cumbria LA9 4UQ

www.southlakeland.gov.uk



**You are requested to attend a meeting of
the Audit Committee
on Wednesday, 5 December 2018, at 6.30 p.m.
in the District Council Chamber - South Lakeland House,
Kendal**

Committee Membership

Councillors

Matt Brereton
Sheila Capstick
Stephen Coleman (Chairman)
Tracy Coward
Eamonn Hennessy
Kevin Lancaster
Matt Severn

Note – Where relevant, agendas for Members are labelled “Private Document Pack” and contain exempt information within the papers. Any relevant pages are marked as restricted within Agendas labelled “Public Document Pack”.

Monday, 26 November 2018

Debbie Storr, Director of Policy and Resources (Monitoring Officer)

For all enquiries, please contact:-

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AGENDA

Page Nos.

PART I

1 APOLOGIES

To receive apologies for absence, if any.

2 MINUTES

To authorise the Chairman to sign, as a correct record, the minutes of the meeting of the Committee held on 19 September 2018 (copy attached).

5 - 10

3 DECLARATIONS OF INTEREST

To receive declarations by Members of interests in respect of items on this Agenda.

Members are reminded that, in accordance with the revised Code of Conduct, they are required to declare any disclosable pecuniary interests or other registrable interests which have not already been declared in the Council's Register of Interests. (It is a criminal offence not to declare a disclosable pecuniary interest either in the Register or at the meeting.)

Members may, however, also decide, in the interests of clarity and transparency, to declare at this point in the meeting, any such disclosable pecuniary interests which they have already declared in the Register, as well as any other registrable or other interests.

If a Member requires advice on any item involving a possible declaration of interest which could affect his/her ability to speak and/or vote, he/she is advised to contact the Monitoring Officer at least 24 hours in advance of the meeting.

4 LOCAL GOVERNMENT ACT 1972 - EXCLUDED ITEMS

To consider whether the items, if any, in Part II of the Agenda should be considered in the presence of the press and public.

5 AUDIT COMMITTEE 2018/19 WORK PROGRAMME

To consider progress against the Committee's 2018/19 Work Programme.

11 - 14

External Audit

6 EXTERNAL AUDITOR'S PROGRESS REPORT AND UPDATE

To consider a summary of the External Auditor's progress to date and emerging issues and developments that may be relevant to the Council.

15 - 30

Internal Audit

7 INTERNAL AUDIT PROGRESS REPORT 2018/19

To consider progress achieved in 2018 in delivering the Internal Audit Plan, including one final Internal Audit Report and an update on progress achieved in implementing recommendations from previous internal audit reviews.

31 - 60

Accounts

8 ACCOUNTING POLICIES 2018/19

To consider the accounting policies for the 2018/19 financial year to be used in the preparation of the Statement of Accounts for the financial year ending 31 March 2019.

61 - 82

Regulatory Framework

9	REVIEW OF CONSTITUTION	83 - 128
	To consider the results of the annual review of the Council's Constitution for consideration by the Audit Committee.	
10	AUDIT COMMITTEE RISK REGISTER	129 - 138
	To consider the updated Audit Committee Risk Register.	
11	RISK MANAGEMENT UPDATE	139 - 174
	To consider the Strategic Risk Register.	
12	REVIEW OF LOCAL CODE OF GOVERNANCE	175 - 224
	To consider the results of the review of the Council's Local Code of Governance.	
13	REVIEW OF EFFECTIVENESS OF AUDIT COMMITTEE	225 - 244
	To consider the results of the annual review of the Audit Committee's effectiveness.	
14	IMPLEMENTATION OF GENERAL DATA PROTECTION REGULATIONS AND DATA PROTECTION ACT 2018	245 - 250
	To consider an update on the introduction of the General Data Protection Regulation and Data Protection Act 2018 across the Council.	

Financial Issues

15	OTHER SIGNIFICANT FINANCIAL ISSUES	
	To receive a verbal update from the Assistant Director Resources (Section 151 Officer) on any other significant financial issues.	

PART II

Private Section (exempt reasons under Schedule 12A of the Local Government Act 1972, as amended by the Local Government (Access to Information) (Variation) Order 2006, specified by way of paragraph number)

16	CUSTOMER CONNECT PROGRAMME MANAGEMENT	251 - 272
	<i>- Paragraph 3 - Information relating to the financial or business affairs of any particular person (including the authority holding that information)</i>	
	Further to AUD (2018/19), to consider an update on the management of the Customer Connect Programme.	