

SOUTH LAKELAND DISTRICT COUNCIL

South Lakeland House, Kendal, Cumbria LA9 4UQ

www.southlakeland.gov.uk



**You are requested to attend a meeting of
the Audit Committee
on Thursday, 25 July 2019, at 6.30 p.m.
in the District Council Chamber, South Lakeland House,
Kendal**

Please note, training will be provided for Committee Members on the Final Accounts and the role of the Audit Committee at 5.30 p.m., also in the District Council Chamber.

Committee Membership

Councillors

Stephen Coleman (Chairman)
Tracy Coward (Vice-Chairman)
Philip Dixon
John Holmes
Kevin Holmes
Kevin Lancaster
Matt Severn

Note – Where relevant, agendas for Members are labelled “Private Document Pack” and contain exempt information within the papers. Any relevant pages are marked as restricted within Agendas labelled “Public Document Pack”.

Tuesday, 16 July 2019

David Sykes, Director of Strategy, Innovation and Resources (Interim Monitoring Officer)

For all enquiries, please contact:-

Committee Administrator: Inge Booth

Telephone: 01539 793190

e-mail: committeeservices@southlakeland.gov.uk



AGENDA

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PART I

1 APOLOGIES

To receive apologies for absence, if any.

2 MINUTES

To authorise the Chairman to sign, as a correct record, the minutes of the meeting of the Committee held on 9 April 2019.

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3 DECLARATIONS OF INTEREST

To receive declarations by Members of interests in respect of items on this Agenda.

Members are reminded that, in accordance with the revised Code of Conduct, they are required to declare any disclosable pecuniary interests or other registrable interests which have not already been declared in the Council's Register of Interests. (It is a criminal offence not to declare a disclosable pecuniary interest either in the Register or at the meeting.)

Members may, however, also decide, in the interests of clarity and transparency, to declare at this point in the meeting, any such disclosable pecuniary interests which they have already declared in the Register, as well as any other registrable or other interests.

If a Member requires advice on any item involving a possible declaration of interest which could affect his/her ability to speak and/or vote, he/she is advised to contact the Monitoring Officer at least 24 hours in advance of the meeting.

4 LOCAL GOVERNMENT ACT 1972 - EXCLUDED ITEMS

To consider whether the items in Part II of the Agenda should be considered in the presence of the press and public.

5 TERMS OF REFERENCE

To note the Terms of Reference for the Audit Committee.

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6 AUDIT COMMITTEE 2019/20 WORK PROGRAMME

To consider progress against the Audit Committee's 2019/20 Work Programme.

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Regulatory Framework

7 AUDIT COMMITTEE RISK REGISTER

To consider the updated Audit Committee Risk Register.

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8 RISK MANAGEMENT UPDATE

To consider the Council's Strategic Risk Register.

31 - 68

Internal Audit

9 INTERNAL AUDIT RECOMMENDATIONS MONITORING REPORT

To consider an update on progress in implementing Internal Audit Report Recommendations from previous Internal Audit reviews.

69 - 104

10	INTERNAL AUDIT PROGRESS REPORT 2019/20	105 - 128
	To consider progress achieved in delivering the Internal Audit Plan to date in 2019/20, including two final Internal Audit reports.	
	<u>External Audit</u>	
11	STATEMENT OF ACCOUNTS AND ANNUAL GOVERNANCE STATEMENT 2018-19	129 - 132
	To consider the Statement of Accounts for 2018/19 (appendices to follow).	
12	EXTERNAL AUDIT OPINION ON ACCOUNTS - AUDIT FINDINGS REPORT	133 - 136
	To consider the Audit Findings Report for the year ended 31 March 2019 (Appendix 1 to follow).	
13	PLANNED AUDIT FEE FOR 2019/20	
	To consider a letter from External Audit on the planned audit fee for 2019/20.	
	<u>Financial Issues</u>	
14	OTHER SIGNIFICANT FINANCIAL ISSUES	
	To consider a verbal update from the Finance Lead Specialist (Section 151 Officer) on any other significant financial issues.	
	<u>PART II</u>	
	Private Section (exempt reasons under Schedule 12A of the Local Government Act 1972, as amended by the Local Government (Access to Information) (Variation) Order 2006, specified by way of paragraph number)	
15	CUSTOMER CONNECT PROGRAMME MANAGEMENT	137 - 156
	- <i>Paragraph 3 - Information relating to the financial or business affairs of any particular person (including the authority holding that information)</i>	
	Further to AUD/13 (2018/19), to consider an update on the management of the Customer Connect Programme.	