

SOUTH LAKELAND DISTRICT COUNCIL

South Lakeland House, Kendal, Cumbria LA9 4UQ

www.southlakeland.gov.uk



**You are requested to attend a virtual meeting of
the Audit Committee
on Thursday, 19 November 2020, at 6.30 p.m.**

*Please note that this meeting will be immediately **followed** by an informal meeting of the Committee with representatives from both External and Internal Audit, without the presence of officers.*

Please note that this meeting will be conducted remotely via Microsoft Teams Live Events and in accordance with Section 78 of the Coronavirus Act 2020. Access details will be provided to Committee Members prior to the meeting. Members of the public will be able to access the live broadcast of the meeting [here](#).

For those using an Apple Device to access the meeting, please note that Safari is not compatible with Microsoft Teams, so you will not be able to watch the meeting using this web browser. If you wish to watch a virtual meeting on any Apple device (iPad, iPhone or Mac) you will need to download the Microsoft Teams App from the App Store, then click the link on the relevant SLDC webpage or Agenda and follow the instructions on screen.

Agendas are divided into two parts, with Part I items being considered in the presence of the press and public. Part II Items, if any, will be considered in the absence of the press and public for the reasons indicated on the Agenda and relevant reports.

It should be noted that discussions held in Part I are recorded and that the recordings are uploaded to the Council's Website.

Committee Membership Councillors

Stephen Coleman (Chairman)
Tracy Coward (Vice-Chairman)
Chris Hogg
Kevin Holmes
Kevin Lancaster
Matt Severn

Note – Where relevant, agendas for Members are labelled “Private Document Pack” and contain exempt information within the papers. Any relevant pages are marked as restricted within Agendas labelled “Public Document Pack”.

Wednesday, 11 November 2020

Linda Fisher, Legal, Governance and Democracy Lead Specialist (Monitoring Officer)

For all enquiries, please contact:-

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AGENDA

Page Nos.

PART I

1 APOLOGIES

To receive apologies for absence, if any.

2 MINUTES

To authorise the Chairman to sign, as a correct record, the minutes of the meeting of the Committee held on 17 September 2020.

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3 DECLARATIONS OF INTEREST

To receive declarations by Members of interests in respect of items on this Agenda.

Members are reminded that, in accordance with the revised Code of Conduct, they are required to declare any disclosable pecuniary interests or other registrable interests which have not already been declared in the Council's Register of Interests. (It is a criminal offence not to declare a disclosable pecuniary interest either in the Register or at the meeting.)

Members may, however, also decide, in the interests of clarity and transparency, to declare at this point in the meeting, any such disclosable pecuniary interests which they have already declared in the Register, as well as any other registrable or other interests.

If a Member requires advice on any item involving a possible declaration of interest which could affect his/her ability to speak and/or vote, he/she is advised to contact the Monitoring Officer at least 24 hours in advance of the meeting.

4 LOCAL GOVERNMENT ACT 1972 - EXCLUDED ITEMS

To consider whether the items, if any, in Part II of the Agenda should be considered in the presence of the press and public.

5 AUDIT COMMITTEE 2020-21 WORK PROGRAMME

To consider progress against the Committee's 2020-21 Work Programme.

17 - 20

6 INTERNAL AUDIT PROGRESS REPORT 2020/21 (NOVEMBER 2020)

To consider a summary of progress in completing the Internal Audit Annual Plan in 2020/21, including an update on progress achieved in implementing recommendations from previous Internal Audit reviews.

21 - 56

7 STATEMENT OF ACCOUNTS AND ANNUAL GOVERNANCE STATEMENT 2019-20

To consider and authorise the signing of the Statement of Accounts 2019-20 and the Letter of Representation following the external audit and to note the Annual Governance Statement Action Plan.

57 - 178

8 EXTERNAL AUDIT OPINION ON ACCOUNTS - AUDIT FINDINGS REPORT

To consider the Audit Findings Report for the year ended 31 March 2020 issued by Grant Thornton incorporating the Value for Money opinion.

179 - 212

9 CUSTOMER CONNECT PROGRAMME MANAGEMENT

Further to AUD/13 (2018/19), to consider an update on the management of the Customer Connect Programme.

213 - 224

10 OTHER SIGNIFICANT FINANCIAL ISSUES

To receive a verbal update from the Finance Lead Specialist (Section 151 Officer) on any other significant financial issues.

PART II

Private Section (exempt reasons under Schedule 12A of the Local Government Act 1972, as amended by the Local Government (Access to Information) (Variation) Order 2006, specified by way of paragraph number)

There are no items in this Part of the Agenda.