

SOUTH LAKELAND DISTRICT COUNCIL

South Lakeland House, Kendal, Cumbria LA9 4UQ

www.southlakeland.gov.uk



**You are requested to attend a meeting of
the Audit Committee
on Thursday, 29 July 2021, at 6.30 p.m.
in the Assembly Room, Kendal Town Hall, Kendal**

Please note that induction/refresher training on the role of the Committee and on the 2020/21 Accounts will be provided for Audit Committee Members prior to the commencement of the meeting, at 5.15 p.m. in the Georgian Room at Kendal Town Hall.

Committee Membership

Councillors

Giles Archibald
Stephen Coleman (Chairman)
Tracy Coward (Vice-Chairman)
Kevin Holmes
Kevin Lancaster
Matt Severn

Note – Where relevant, agendas for Members are labelled “Private Document Pack” and contain exempt information within the papers. Any relevant pages are marked as restricted within Agendas labelled “Public Document Pack”.

Note – Due to the ongoing Covid-19 Pandemic, any members of the public who wish to attend to observe the meeting are required to contact committeeservices@southlakeland.gov.uk at least 24 hours before the meeting.

Tuesday, 20 July 2021

Linda Fisher, Legal, Governance and Democracy Lead Specialist (Monitoring Officer)

For all enquiries, please contact:-

Committee Administrator: Adam Moffatt

Telephone: 01539 793190

e-mail: committeeservices@southlakeland.gov.uk



AGENDA

Page Nos.

PART I

1 APOLOGIES

To receive apologies for absence, if any.

2 MINUTES

To authorise the Chairman to sign, as a correct record, the minutes of the meeting of the Committee held on 22 April 2021.

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3 DECLARATIONS OF INTEREST

To receive declarations by Members of interests in respect of items on this Agenda.

Members are reminded that, in accordance with the revised Code of Conduct, they are required to declare any disclosable pecuniary interests or other registrable interests which have not already been declared in the Council's Register of Interests. (It is a criminal offence not to declare a disclosable pecuniary interest either in the Register or at the meeting.)

Members may, however, also decide, in the interests of clarity and transparency, to declare at this point in the meeting, any such disclosable pecuniary interests which they have already declared in the Register, as well as any other registrable or other interests.

If a Member requires advice on any item involving a possible declaration of interest which could affect his/her ability to speak and/or vote, he/she is advised to contact the Monitoring Officer at least 24 hours in advance of the meeting.

4 LOCAL GOVERNMENT ACT 1972 - EXCLUDED ITEMS

To consider whether the items, if any, in Part II of the Agenda should be considered in the presence of the press and public.

5 TERMS OF REFERENCE

To note the Terms of Reference for the Audit Committee.

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6 AUDIT COMMITTEE WORK PROGRAMME 2021/22

To consider progress against the Committee's 2021/22 Work Programme.

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7 RISK MANAGEMENT UPDATE

To consider the Strategic Risk Register.

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8 INTERNAL AUDIT ANNUAL REPORT 2020/21

To consider a summary of the outcomes of the work of the internal audit provided by TIAA Limited for South Lakeland District Council for 2020/21, including the Head of Internal Audit's opinion on the effectiveness of the Council's arrangements for risk management, governance and internal control.

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9 INTERNAL AUDIT PROGRESS REPORT 2021/22

To consider progress achieved in delivering the Internal Audit Plan to date in 2021/22, including two final Internal Audit reports and an update on progress achieved in implementing recommendations from previous internal audit reviews.

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| 10 EXTERNAL AUDIT DRAFT SOUTH LAKELAND DISTRICT COUNCIL AUDIT PLAN YEAR ENDING 31 MARCH 2021 | 99 - 122 |
| To consider the External Audit Plan for South Lakeland District Council year ending 31 March 2021. | |
| 11 STATEMENT OF ACCOUNTS AND ANNUAL GOVERNANCE STATEMENT 2020/21 | 123 - 284 |
| To consider the unaudited Statement of Accounts and the Annual Governance Statement Action Plan. | |
| 12 OTHER SIGNIFICANT FINANCIAL ISSUES | |
| To consider a verbal update from the Finance Lead Specialist (Section 151 Officer) on any other significant financial issues. | |

PART II

Private Section (exempt reasons under Schedule 12A of the Local Government Act 1972, as amended by the Local Government (Access to Information) (Variation) Order 2006, specified by way of paragraph number)

There are no items in this Part of the Agenda.