

SOUTH LAKELAND DISTRICT COUNCIL

South Lakeland House, Kendal, Cumbria LA9 4UQ

www.southlakeland.gov.uk



**You are requested to attend a meeting of
the Audit Committee
on Thursday, 23 September 2021, at 6.30 p.m.
in the Lowther Room, Kendal Town Hall**

Please note that this meeting will be preceded at 6.00 p.m. by an informal meeting of the Committee with representatives from both External and Internal Audit, without the presence of officers, also in the Lowther Room.

Committee Membership

Councillors

Giles Archibald
Stephen Coleman (Chairman)
Tracy Coward (Vice-Chairman)
Kevin Holmes
Kevin Lancaster
Matt Severn

Note – Where relevant, agendas for Members are labelled “Private Document Pack” and contain exempt information within the papers. Any relevant pages are marked as restricted within Agendas labelled “Public Document Pack”.

Wednesday, 15 September 2021

Linda Fisher, Legal, Governance and Democracy Lead Specialist (Monitoring Officer)

For all enquiries, please contact:-

Committee Administrator: Inge Booth

Telephone: 01539 793190

e-mail: committeeservices@southlakeland.gov.uk



AGENDA

Page Nos.

PART I

1 APOLOGIES

To receive apologies for absence, if any.

2 MINUTES

To authorise the Chairman to sign, as a correct record, the minutes of the meeting of the Committee held on 29 July 2021.

5 - 10

3 DECLARATIONS OF INTEREST

To receive declarations by Members of interests in respect of items on this Agenda.

Members are reminded that, in accordance with the revised Code of Conduct, they are required to declare any disclosable pecuniary interests or other registrable interests which have not already been declared in the Council's Register of Interests. (It is a criminal offence not to declare a disclosable pecuniary interest either in the Register or at the meeting.)

Members may, however, also decide, in the interests of clarity and transparency, to declare at this point in the meeting, any such disclosable pecuniary interests which they have already declared in the Register, as well as any other registrable or other interests.

If a Member requires advice on any item involving a possible declaration of interest which could affect his/her ability to speak and/or vote, he/she is advised to contact the Monitoring Officer at least 24 hours in advance of the meeting.

4 LOCAL GOVERNMENT ACT 1972 - EXCLUDED ITEMS

To consider whether the items, if any, in Part II of the Agenda should be considered in the presence of the press and public.

5 AUDIT COMMITTEE 2021/22 WORK PROGRAMME (SEPTEMBER 2021)

To consider progress against the Committee's 2021/22 Work Programme.

11 - 14

6 INTERNAL AUDIT PROGRESS REPORT 2021/22 (SEPTEMBER 2021)

To consider a summary of progress in completing the Internal Audit Annual Plan in 2021/22, including three final Internal Audit reports and an update on progress achieved in implementing recommendations from previous Internal Audit reviews.

15 - 66

7 AUDITED STATEMENT OF ACCOUNTS AND ANNUAL GOVERNANCE STATEMENT 2020/21

To consider and authorise the signing of the Statement of Accounts 2020/21 and the Letter of Representation following the external audit and to note the Annual Governance Statement Action Plan (*Appendices 2, 4 and 5 to follow*).

67 - 86

8 EXTERNAL AUDIT OPINION ON ACCOUNTS - AUDIT FINDINGS REPORT

To consider the Audit Findings Report for the year ended 31 March 2021 issued by Grant Thornton (*report to follow*).

9 EXTERNAL AUDIT PROGRESS REPORT AND UPDATE (SEPTEMBER 2021)

To consider a summary of the External Auditor's progress to date and emerging issues and developments that may be relevant to the Council (*report to follow*).

10 OTHER SIGNIFICANT FINANCIAL ISSUES

To receive a verbal update from the Finance Lead Specialist (Section 151 Officer) on any other significant financial issues.

PART II

Private Section (exempt reasons under Schedule 12A of the Local Government Act 1972, as amended by the Local Government (Access to Information) (Variation) Order 2006, specified by way of paragraph number)

There are no items in this Part of the Agenda.