

SOUTH LAKELAND DISTRICT COUNCIL

South Lakeland House, Kendal, Cumbria LA9 4UQ

www.southlakeland.gov.uk



**You are requested to attend a meeting of
the Audit Committee
on Thursday, 21 April 2022, at 6.30 p.m.
in the Georgian Room, Kendal Town Hall**

Committee Membership

Councillors

Stephen Coleman (Chairman)
Tracy Coward (Vice-Chairman)
Pete Endsor
Kevin Holmes
Kevin Lancaster
Matt Severn

Note – Where relevant, agendas for Members are labelled “Private Document Pack” and contain exempt information within the papers. Any relevant pages are marked as restricted within Agendas labelled “Public Document Pack”.

Monday, 11 April 2022

Linda Fisher, Legal, Governance and Democracy Lead Specialist (Monitoring Officer)

For all enquiries, please contact:-

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AGENDA

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PART I

1 APOLOGIES

To receive apologies for absence, if any.

2 MINUTES

To authorise the Chairman to sign, as a correct record, the minutes of the meeting of the Committee held on 8 December 2021 (copy attached).

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3 DECLARATIONS OF INTEREST

To receive declarations by Members of interests in respect of items on this Agenda.

Members are reminded that, in accordance with the revised Code of Conduct, they are required to declare any disclosable pecuniary interests or other registrable interests which have not already been declared in the Council's Register of Interests. (It is a criminal offence not to declare a disclosable pecuniary interest either in the Register or at the meeting.)

Members may, however, also decide, in the interests of clarity and transparency, to declare at this point in the meeting, any such disclosable pecuniary interests which they have already declared in the Register, as well as any other registrable or other interests.

If a Member requires advice on any item involving a possible declaration of interest which could affect his/her ability to speak and/or vote, he/she is advised to contact the Monitoring Officer at least 24 hours in advance of the meeting.

4 LOCAL GOVERNMENT ACT 1972 - EXCLUDED ITEMS

To consider whether the items, if any, in Part II of the Agenda should be considered in the presence of the press and public.

5 RISK MANAGEMENT - OPERATIONAL RISKS

To consider the report Risk Management – Operational Risks.

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6 REVIEW OF PERFORMANCE MANAGEMENT FRAMEWORK AND RISK MANAGEMENT PROCESS

To consider the report Review of Performance Management Framework and Risk Management Process.

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7 THE EXTERNAL AUDIT PLAN FOR SOUTH LAKELAND DISTRICT COUNCIL YEAR ENDED 31 MARCH 2022

To consider the Audit Plan for South Lakeland District Council year ended 31 March 2022.

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8 AUDIT COMMITTEE PROGRESS REPORT

To consider the Audit Committee Progress Report.

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9 HOUSING BENEFIT (SUBSIDY) ASSURANCE PROCESS REPORT

To consider the Housing Benefit (Subsidy) Assurance Process Report.

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10 CHAIR OF AUDIT COMMITTEE'S ANNUAL REPORT

To consider the Chair of the Audit Committee's Annual Report.

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11	REVIEW OF EFFECTIVENESS OF AUDIT COMMITTEE	111 - 128
	To consider the report Review of Effectiveness of Audit Committee.	
12	SLDC INTERNAL AUDIT PROGRESS REPORT	129 - 180
	To consider the report SLDC Internal Audit Progress Report.	
13	SLDC INTERNAL AUDIT ANNUAL PLAN	181 - 194
	To consider the report SLDC Internal Audit Annual Plan.	
14	REVIEW OF EFFECTIVENESS OF INTERNAL AUDIT	195 - 210
	To consider the report Review of Effectiveness of Internal Audit.	
15	AUDIT COMMITTEE WORK PROGRAMMES	211 - 218
	To consider the Audit Committee Work Programmes for 20-22 and 22-23.	

PART II

Private Section (exempt reasons under Schedule 12A of the Local Government Act 1972, as amended by the Local Government (Access to Information) (Variation) Order 2006, specified by way of paragraph number)

There are no items in this part of the agenda.