

SOUTH LAKELAND DISTRICT COUNCIL

South Lakeland House, Kendal, Cumbria LA9 4UQ

www.southlakeland.gov.uk



**You are requested to attend a meeting of
the Audit Committee
on Thursday, 28 July 2022, at 6.30 p.m.
in the Lowther Room, Kendal Town Hall, Kendal**

Please note that induction/ refresher training on the role of the Committee will be provided for Audit Committee Member prior to the commencement of the meeting, at 5.30 p.m. in the Lowther Room at Kendal Town Hall.

Committee Membership

Councillors

Stephen Coleman (Chairman)
Tracy Coward (Vice-Chairman)
Pete Endsor
Kevin Holmes
Kevin Lancaster
Matt Severn

Note – Where relevant, agendas for Members are labelled “Private Document Pack” and contain exempt information within the papers. Any relevant pages are marked as restricted within Agendas labelled “Public Document Pack”.

Wednesday, 20 July 2022

Linda Fisher, Legal, Governance and Democracy Lead Specialist (Monitoring Officer)

For all enquiries, please contact:-

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AGENDA

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PART I

1 APOLOGIES

To receive apologies for absence, if any.

2 MINUTES

To authorise the Chairman to sign, as a correct record, the minutes of the meeting of the Committee held on 21 April 2022.

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3 DECLARATIONS OF INTEREST

To receive declarations by Members of interests in respect of items on this Agenda.

Members are reminded that, in accordance with the revised Code of Conduct, they are required to declare any disclosable pecuniary interests or other registrable interests which have not already been declared in the Council's Register of Interests. (It is a criminal offence not to declare a disclosable pecuniary interest either in the Register or at the meeting.)

Members may, however, also decide, in the interests of clarity and transparency, to declare at this point in the meeting, any such disclosable pecuniary interests which they have already declared in the Register, as well as any other registrable or other interests.

If a Member requires advice on any item involving a possible declaration of interest which could affect his/her ability to speak and/or vote, he/she is advised to contact the Monitoring Officer at least 24 hours in advance of the meeting.

4 LOCAL GOVERNMENT ACT 1972 - EXCLUDED ITEMS

To consider whether the items, if any, in Part II of the Agenda should be considered in the presence of the press and public.

5 TERMS OF REFERENCE

To note the Terms of Reference for the Audit Committee.

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6 AUDIT COMMITTEE WORK PROGRAMME 2022/23

To consider progress against the Committee's 2022/23 Work Programme.

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7 RISK MANAGEMENT UPDATE

To consider the Strategic Risk Register.

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8 AUDIT COMMITTEE RISK REGISTER

To consider the updated Audit Committee Risk Register.

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9 STATEMENT OF ACCOUNTS AND ANNUAL GOVERNANCE STATEMENT 2021/22

To consider the unaudited Statement of Accounts and the Annual Governance Statement Action Plan.

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10	INTERNAL AUDIT ANNUAL REPORT 2021-22	215 - 224
	To consider a summary of the outcomes of the work of the internal audit provided by TIAA Limited for South Lakeland District Council for 2021/22, including the Head of Internal Audit's opinion on the effectiveness of the Council's arrangements for risk management, governance and internal control	
11	INTERNAL AUDIT PROGRESS REPORT 2022-23	225 - 268
	To consider progress achieved in delivering the Internal Audit Plan to date in 2022/23, including two final Internal Audit reports, the one completed audit from 2022/23 and an update on progress achieved in implementing recommendations from previous internal audit reviews.	
12	EXTERNAL AUDIT PROGRESS REPORT (JULY 2022)	269 - 282
	To consider a summary of the External Auditor's progress to date and emerging issues and developments that may be relevant to the Council.	
13	OTHER SIGNIFICANT FINANCIAL ISSUES	
	To consider a verbal update from the Finance Lead Specialist (Section 151 Officer).	

PART II

Private Section (exempt reasons under Schedule 12A of the Local Government Act 1972, as amended by the Local Government (Access to Information) (Variation) Order 2006, specified by way of paragraph number)

There are no items in this part of the agenda.