

SOUTH LAKELAND DISTRICT COUNCIL

South Lakeland House, Kendal, Cumbria LA9 4UQ

www.southlakeland.gov.uk



**You are requested to attend a meeting of
the Audit Committee
on Wednesday, 8 December 2021, at 6.30 p.m.
in the Lowther Room, Kendal Town Hall**

Committee Membership

Councillors

Stephen Coleman (Chairman)
Tracy Coward (Vice-Chairman)
Pete Endsor
Kevin Holmes
Kevin Lancaster
Matt Severn

Note – Where relevant, agendas for Members are labelled “Private Document Pack” and contain exempt information within the papers. Any relevant pages are marked as restricted within Agendas labelled “Public Document Pack”.

Note – Due to the ongoing Covid-19 Pandemic, any Members of the public who wish to Attend to observe the meeting, are required to contact committeeservices@southlakeland.gov.uk , at least 24 hours before the meeting.

Tuesday, 30 November 2021

Linda Fisher, Legal, Governance and Democracy Lead Specialist (Monitoring Officer)

For all enquiries, please contact:-

Committee Administrator: Inge Booth

Telephone: 01539 793190

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AGENDA

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PART I

1 APOLOGIES

To receive apologies for absence, if any.

2 MINUTES

To authorise the Chairman to sign, as a correct record, the minutes of the meeting of the Committee held on 23 September 2021.

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3 DECLARATIONS OF INTEREST

To receive declarations by Members of interests in respect of items on this Agenda.

Members are reminded that, in accordance with the revised Code of Conduct, they are required to declare any disclosable pecuniary interests or other registrable interests which have not already been declared in the Council's Register of Interests. (It is a criminal offence not to declare a disclosable pecuniary interest either in the Register or at the meeting.)

Members may, however, also decide, in the interests of clarity and transparency, to declare at this point in the meeting, any such disclosable pecuniary interests which they have already declared in the Register, as well as any other registrable or other interests.

If a Member requires advice on any item involving a possible declaration of interest which could affect his/her ability to speak and/or vote, he/she is advised to contact the Monitoring Officer at least 24 hours in advance of the meeting.

4 LOCAL GOVERNMENT ACT 1972 - EXCLUDED ITEMS

To consider whether the items, if any, in Part II of the Agenda should be considered in the presence of the press and public.

5 AUDIT COMMITTEE 2021/22 WORK PROGRAMME (DECEMBER 2021)

To consider progress against the Committee's 2021/22 Work Programme.

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6 EXTERNAL AUDITOR'S ANNUAL REPORT 2020/21

To consider the External Auditors Annual Report for South Lakeland District Council for the financial year ended 31 March 2021 (*report to follow*).

7 INTERNAL AUDIT PROGRESS REPORT 2021/22

To consider a summary of progress in completing the Internal Audit Annual Plan in 2021/22.

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8 2021/22 ACCOUNTING POLICES

To consider the proposed accounting policies to be adopted for the 2021/22 financial year.

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9 DECISION TO OPT-IN TO THE NATIONAL SCHEME FOR AUDIT APPOINTMENTS

To consider the appointment of external auditors, if required, for the period covering the accounts from 1 April 2023.

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10	REVIEW OF FINANCIAL PROCEDURE RULES	101 - 104
	To consider the results of a review of Financial Procedure Rules.	
11	ANNUAL REVIEW OF ANTI-FRAUD POLICY & ACTIVITY	105 - 156
	To consider the results of the Audit Committee's annual review of the Council's Anti-Bribery, Fraud and Corruption Policy (<i>Appendix 7 to follow</i>).	
12	RISK MANAGEMENT UPDATE	157 - 170
	To consider the Strategic Risk Register.	
13	AUDIT COMMITTEE RISK REGISTER	171 - 182
	To consider the updated Audit Committee Risk Register.	
14	REVIEW OF LOCAL CODE OF GOVERNANCE AND GOVERNANCE UPDATE	183 - 268
	To consider the results of the review of the Council's Local Code of Governance and to update on the progress in implementing the Annual Governance Statement (AGS) action plan.	

PART II

Private Section (exempt reasons under Schedule 12A of the Local Government Act 1972, as amended by the Local Government (Access to Information) (Variation) Order 2006, specified by way of paragraph number)

There are no items in this part of the agenda.