

**09/04/2019 AUDIT COMMITTEE  
2018/19 WORK PROGRAMME**

	Lead Officer	25 July	19 Sept	5 Dec	9 April
<b>Committee Administration</b>					
Committee Terms of Reference	Una Bell	√			
Set work programme for coming year	Helen Smith				√
Review progress against current work programme	Helen Smith	√	√	√	√
Training – to be arranged as necessary outside meetings	Helen Smith	√	As needed	As needed	As needed
Chair’s Audit Committee Annual Report (Referral to Council)	Helen Smith/Chair				√
Private Meeting – Internal and External Audit	Inge Booth		√		
<b>External Audit</b>					
2017/18 Annual Audit Letter	Gareth Kelly		√		
2017/18 Grant Certification Report	Gareth Kelly				√
2018/19 Opinion Audit Plan	Gareth Kelly				√
Audit Fee Letter	Gareth Kelly	√ (2018/19 Audit)			
External Auditor Update	Gareth Kelly			√	√

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<b>Internal Audit</b>					
2017/18 Annual Internal Audit Report	Peter Harrison	√			√
2018/19 Internal Audit Plan Progress & Individual Internal Audit Reports Recommendation Follow Up Report	Peter Harrison	√	√	√	√
2019/20 Internal Audit Annual Plan (including review of Internal Audit Charter)	Peter Harrison				√
Review effectiveness of Internal Audit	Helen Smith		√		
<b>Accounts</b>					
Approve the 2017/18 Statement of Accounts Annual Governance Statement and Action Plan Sign letter of representation	Helen Smith	√			
2017/18 Statement of Accounts - Receive external auditor's opinion on Accounts (Audit Findings Report & Financial Resilience Report)	Gareth Kelly	√			
Review Accounting Policies for 2018/19 Statement of Accounts	Lee Hurst / Helen Smith			√	

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<b>Regulatory Framework</b>					
Review Annual Governance Statement for inclusion in the Statement of Accounts Report	Helen Smith	Incorporated into Statement of Accounts			
Contribute to review of Constitution	Helen Smith			√	
Annual Review of Anti-Fraud Policy & Activity	Helen Smith/Katie Booth		√		
Review Whistleblowing Policy	Helen Smith		Bi-annual, due Sept 2019		
Review of Performance and Risk Management Framework	Simon McVey				√
Review of Operational Risks above the line of risk tolerance	Simon McVey				√
Audit Committee Risk Register	Helen Smith	√		√	
Strategic Risk Register	Simon McVey	√		√	
Review Local Code of Governance	Helen Smith			√	
Review Audit Committee's effectiveness	Helen Smith			√	
Introduction of General Data Protection Regulations and Data Protection Bill	Paul Mountford			√	

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<b>Financial Issues</b>					
Customer Connect Business Case & Updates	Simon McVey	√	√	√	√
Update of significant financial issues not covered elsewhere on the agenda	Helen Smith	As required			