



Appendix 1

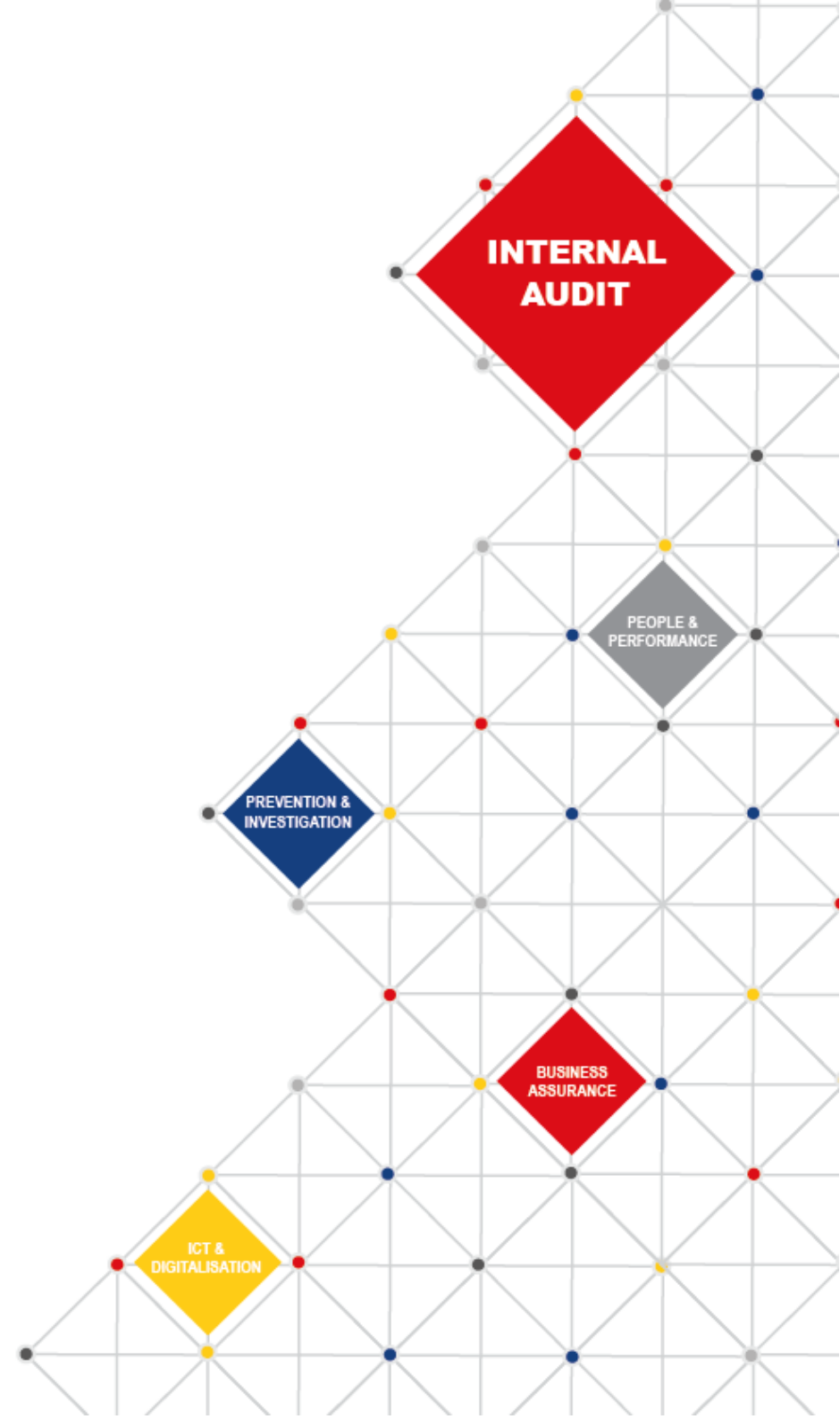
South Lakeland District Council

Internal Audit Progress Report

March 2019

FINAL

2018/19



INTRODUCTION

1. This summary report provides the Audit Committee with an update on the progress of our work at South Lakeland District Council as at 25th March 2019.

AUDITS COMPLETED SINCE THE LAST REPORT TO COMMITTEE

2. The table below sets out details of audits finalised since the previous meeting of the Audit Committee.

Review	Evaluation	Report history			Number of Recommendations			
		Draft issued	Responses Received	Final issued	1	2	3	OEM
Use of Agency, Interim and Casual Workers	Reasonable	19/11/2018	31/01/2019	11/02/2019	-	3	-	-
Health & Safety – Property Risks	Reasonable	13/03/2019	21/03/2019	25/03/2019	-	1	-	1
Cyber Security	Reasonable	11/12/2108	28/01/2019	25/03/2019	-	6	2	3
Customer Connect	Reasonable	29/01/2019	15/02/2109	18/02/2019	-	-	-	-
Homelessness	Reasonable	07/03/2019	22/03/2019	25/03/2019	-	2	1	-
Information Governance	Reasonable	12/03/2019	19/03/2019	25/03/2019	-	3	9	1
Housing Benefit	Reasonable	01/03/2019	26/03/2019	26/03/2019	-	1	2	1
Follow Up	N/A	22/03/2019		25/03/2019	N/A	N/A	N/A	N/A

PROGRESS AGAINST THE 2018/19 ANNUAL PLAN

3. Our progress against the Annual Plan for 2018-19 is set out in Appendix A.
4. These final reports conclude the Internal Audit work for 2018/19.

CHANGES TO THE ANNUAL PLAN 2018/19

5. There have been no changes proposed to the Annual Plan; other than the proposed audit of additional DFG monies being cancelled as the council did not draw down any grant and therefore there was no requirement to have this area audited.

FRAUDS/IRREGULARITIES

6. We have not been advised of any frauds or irregularities that require the involvement of internal audit in the period since the last summary report was issued.

PROGRESS ACTIONING PRIORITY 1 RECOMMENDATIONS

7. We have made no Priority 1 recommendations (i.e. fundamental control issues on which action should be taken immediately) since the previous Internal Audit Progress Report.

RESPONSIBILITY/DISCLAIMER

8. This report has been prepared solely for management's use and must not be recited or referred to in whole or in part to third parties without our prior written consent. The matters raised in this report not necessarily a comprehensive statement of all the weaknesses that exist or all the improvements that might be made. No responsibility to any third party is accepted as the report has not been prepared, and is not intended, for any other purpose. TIAA neither owes nor accepts any duty of care to any other party who may receive this report and specifically disclaims any liability for loss, damage or expense of whatsoever nature, which is caused by their reliance on our report.

Progress against the Annual Plan for 2018/19

System	Days	Current Status	Comments	Audit Committee
Debtors	10	Completed		September 2018
Treasury Management	10	Completed		September 2018
Budgetary Control	10	Completed		September 2018
Procurement	15	Completed		September 2018
Business Continuity	10	Completed		December 2018
Use of Agency, Interim and Casual Workers	15	Completed		March 2019
Health & Safety – Property Risks	15	Completed		March 2019
Cyber Security	8	Completed		March 2019
Customer Connect	10	Completed		March 2019
Homelessness	15	Completed		March 2019
Information Governance	15	Completed		March 2019
Housing Benefit	20	Completed		March 2019
Follow-up	12	Completed		Each meeting

KEY:

	=	To be commenced
	=	Site work commenced
	=	Draft report issued
	=	Final report issued