

# South Lakeland District Council

## Audit Committee

Thursday, 25 July 2019

### Internal Audit Recommendations Monitoring Report

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<b>Portfolio:</b>	Cllr Giles Archibald - Leader of the Council
<b>Report from:</b>	Helen Smith - Finance Lead Specialist and Section 151 Officer
<b>Report Author:</b>	John Davies - Case Management Officer
<b>Wards:</b>	(All Wards);
<b>Forward Plan:</b>	Not applicable

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#### **1.0 Expected Outcome**

1.1 This report provides an update of the progress with implementing the Internal Audit Report Recommendations.

#### **2.0 Recommendation**

**2.1 It is recommended that Audit Committee:-**

- (1) note the progress made with implementing the Internal Audit Report recommendations at Appendix 1**
- (2) note a report on Cyber Security at Appendix 2**
- (3) note a report on Assurance review of Information Governance at Appendix 3**

#### **3.0 Background and Proposals**

3.1 Recommendations are made by Internal Audit to improve governance arrangements. This report provides an update on the progress made with these recommendations. Recommendations should be implemented by their agreed due dates. Internal Audit also provides separate and independent comment on the implementation of recommendations as part of regular or one off audits.

3.2 Audit Committee, at their previous meeting, requested a further report on Cyber Security to be brought to the next meeting on July 2019. This can be seen at Appendix 2.

3.3 Audit Committee also requested an update regarding progress with the Review of Information Governance and this can be seen at Appendix 3.

#### **4.0 Consultation**

4.1 Officers responsible for implementing the recommendations provide the report author with updates. Updates can be seen in Appendix 1 of this report.

#### **5.0 Alternative Options**

5.1 None

## 6.0 Links to Council Priorities

6.1 Improving governance supports the achievement of Council Plan priorities.

## 7.0 Implications

### Financial, Resources and Procurement

7.1 No additional financial or resources implications.

### Human Resources

7.2 No additional human resources implications

### Legal

7.3 Monitoring and reporting provides assurance that the governance arrangements are effectively managed and reported.

### Health, Social, Economic and Environmental

7.4 A Health, Social, Economic and Environmental Impact Assessment is not required as there are no implications associated with reporting progress with audit recommendations.

### Equality and Diversity

7.7 A Equality Impact Analysis is not required as there are no implications associated with reporting progress with audit recommendations.

### Risk

Risk	Consequence	Controls required
That audit recommendations are not implemented in a timely manner.	Poor practice, weak internal controls, poor Value for Money.	Monitoring and reporting of progress with internal audit recommendations.

### Contact Officers

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### Appendices Attached to this Report

(delete if no appendices attached)

Appendix No.	Name of Appendix
1	Internal Audit Recommendations Progress Updates
2	Cyber Security Update
3	Assurance review of Information Governance

### Background Documents Available

Internal Audit Reports can be seen at the Audit Committee Meetings page on the [Councils website](#).

### Tracking Information

Signed off by	Date sent
Legal Services	24/06/19
Section 151 Officer	24/06/19
Monitoring Officer	24/06/19
SMT	04/07/19

<b>Circulated to</b>	<b>Date sent</b>
Assistant Director	24/06/19
Human Resources Manager	24/06/19
Communications Team	24/06/19
Leader	
Committee Chairman	
Portfolio Holder	
Ward Councillor(s)	
Committee	25/07/19
Executive (Cabinet)	N/A
Council	N/A