

## ANNUAL GOVERNANCE STATEMENT RECOMMENDATION IMPLEMENTATION PROGRESS REPORT

### ANNUAL GOVERNANCE STATEMENT ACTIONS

Local Code ref	Local Code Measures	Resp	Deadline	Date Impl	Progress Comment
<b>Existing Actions (references updated to revised Local Code)</b>					
A3 & CIPFA stmt. on role of CFO	<p>Enable the Chief Finance Officer (Section 151 Officer) to bring influence to bear on all material decisions and provide advice on the levels of reserves and balances to be retained.</p> <p>The governance requirement in the CIPFA Statement is that CFOs should be professionally qualified and report directly to the Chief Executive and be a member of the leadership team with status at least equivalent to other members. Any different arrangement should be explained publicly in the AGS. The difference, the reasons for it and how these arrangements deliver the same impact should be included in the AGS.</p>	Chief Executive /CFO	Subject to Customer Connect corporate structure review	Complete	<p>Following the completion of Phase 1 of the Customer Connect programme a new Leadership structure has been approved.</p> <p>Under the structure the Chief Finance Officer and the Monitoring Officer are members of the Corporate Management Team alongside the Chief Executive and the 2 Directors.</p> <p>For day-to-day management the Chief Finance Officer and Monitoring Officer report to a Director rather than the Chief Executive but can raise issues directly with the Chief Executive where appropriate.</p>
B9, B10	Overall, several Local Code provisions to ensure that the authority as a whole is open and accessible to the community, service users and its staff and ensure that it has a commitment to openness and transparency in all its dealings including partnerships subject only to the need to preserve confidentiality in those specific circumstances where it is proper and appropriate.	Operational Lead Support Services; Performance, Innovation and Commissioning Specialist	CC commenced due to continue over next 12 months.	In progress	Information governance, data quality and transparency will all feature as part of the Customer Connect Programme, particularly the implementation of the new digital platform. In addition, the new platform will also enhance communication with customers, ensure that it is easier to access services and gather feedback.

**ANNUAL GOVERNANCE STATEMENT  
RECOMMENDATION IMPLEMENTATION PROGRESS REPORT**

Local Code ref	Local Code Measures	Resp	Deadline	Date Impl	Progress Comment
D14	<p>Link service plans to agreed measures and targets within the Council plan (including Social Value)</p> <p>Set out clear financial and contract procedure rules, kept under review as part of the overall Constitution of the Council.</p>	<p>Strategy Lead Specialist; Performance, Innovation and Commissioning Specialist; Finance Lead Specialist</p>	<p><del>In place for 2018/19</del> service plans December 2019</p>	<p>In progress</p>	<p>Embedding of procurement practices into service planning (eg identifying contracts in plans) along with wider consideration of social value around delivery options.</p> <p>Update: A Commissioning Framework and revised Procurement Strategy are being prepared which will incorporate social value alongside other relevant policies such as climate change and biodiversity.</p>
E3.	<p>Ensuring appropriate financial skills of Officers scored 3 out of 4 with some scope to make financial acumen requirements more explicit.</p>	<p>Finance Lead Specialist / Human Resources Lead Specialist</p>	<p>CC commenced due to continue over next 12 months</p>	<p>In progress</p>	<p>This is a medium term strategic project which also links in to Customer Connect.</p>

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New actions					
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A6	use the Council's shared values to act as a guide for decision making and as a basis for developing positive and trusting relationships within the Council;	Monitoring Officer	September 2019	In progress	Review of Local Government Ethical Standards reported to Standards Committee April 2019. the Monitoring Officer to consider the list of best practice recommendations and discuss with the Cumbrian Monitoring Officers and CALC any potential revisions to the existing code of conduct and/or guidance and to take account of these recommendations for a further report back to Committee.