

**23/09/2021 AUDIT COMMITTEE  
2021/22 WORK PROGRAMME**

	Lead Officer	29 July 2021	23 Sept 2021	18 Nov 2021	21 April 2022
<b>Committee Administration</b>					
Committee Terms of Reference	Inge Booth	√			
Set work programme for coming year	Helen Smith				√
Review progress against work programme	Helen Smith	√	√	√	√
Training – to be arranged as necessary outside meetings	Helen Smith	As needed	As needed	As needed	As needed
Chair’s Audit Committee Annual Report:	Helen Smith/Chair				√ (referral to Council):
Private Meeting – Internal and External Audit	Inge Booth		√		
<b>External Audit</b>					
Annual Audit Letter	Gareth Kelly			√ (2020/21)	
Grant Certification Report (Housing Benefits)	Gareth Kelly			√ (2020/21)	
Opinion Audit Plan	Gareth Kelly	√ (2020/21)			
Audit Fee Letter	Gareth Kelly	√ (2020/21)			
External Auditor Update	Gareth Kelly	√	√	√	√

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<b>Internal Audit</b>					
Internal Audit Annual Plan (including review of Internal Audit Charter)	Peter Harrison				2022/23 ✓
Annual Internal Audit Report	Peter Harrison	2020/21 ✓			2021/22 ✓
2020/21 Internal Audit Plan Progress, Individual Internal Audit Reports and Recommendation Follow Up Report	Peter Harrison	✓	✓	✓	✓
Review effectiveness of Internal Audit	Helen Smith			✓	
<b>Accounts</b>					
Approve the 2020/21 draft Statement of Accounts	Helen Smith	✓			
Approve the 2020/21 Statement of Accounts, Annual Governance Statement and Action Plan Sign letter of representation	Helen Smith		✓ (update report to follow)		
2020/21 Statement of Accounts - Receive external auditor's opinion on Accounts (Audit Findings Report & Financial Resilience Report)	Gareth Kelly		✓ (report to follow)		
Review Accounting Policies for 2021/22 Statement of Accounts	Helen Smith			✓	

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<b>Regulatory Framework</b>					
Review Annual Governance Statement for inclusion in the Statement of Accounts Report	Helen Smith	Arrange sub-group			
Contribute to review of Constitution	Helen Smith			√	
Annual Review of Anti-Fraud Policy & Activity	Helen Smith	Delay to Sept 2021	√	√	
Review Whistleblowing Policy (Bi-annual)	Helen Smith		√	√	
Review of Performance and Risk Management Framework	Simon McVey				√
Review of Operational Risks above the line of risk tolerance	Simon McVey				√
Audit Committee Risk Register	Helen Smith	Delay to Sept 2021	√	√	
Strategic Risk Register	Simon McVey	√		√	
Review Local Code of Governance	Helen Smith/Linda Fisher			√	
Review Audit Committee's effectiveness	Helen Smith			√	

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<b>Financial Issues</b>					
Customer Connect Business Case & Updates	Simon McVey		<del>Final Report</del>	Final Report	
Update of significant financial issues not covered elsewhere on the agenda	Helen Smith	As required			