

**South Lakeland District Council**  
**Audit Committee**  
**Thursday, 21 April 2022**  
**Chair of the Audit Committee's Annual Report**  
**2021/22**

---

**Portfolio:** Cllr Stephen Coleman – Chair of Audit Committee  
**Report from:** Section 151 Officer  
**Report Author:** Helen Smith – Finance Lead Specialist (Section 151)  
**Wards:** (All Wards);  
**Forward Plan:** n/a

**Links to Council Plan Priorities:** Good governance arrangements link to the Council's achievements of its Council Plan priorities and objectives.

---

**1.0 Expected Outcome and Measures of Success**

1.1 This report is presented to summarise the activities of the Audit Committee during the 2021/22 Council year.

**2.0 Recommendation**

**2.1 It is recommended that Audit Committee:-**

- (1) consider the draft report: and
- (2) authorise the Chairman to submit it, with any agreed amendments, to Council.

**3.0 Background and Proposals**

3.1 The terms of reference of the Audit Committee require the Committee to report annually to Council on its work for the year. As well as informing Councillors generally, the report helps to demonstrate the continuing effectiveness of the Committee.

3.2 The attached report describes the Committee's work during 2021/22, grouped under functional headings. For completeness, agenda items for this meeting are included in the report on the assumption that they will be accepted: if necessary, changes will be made to reflect any different outcome. The Council year has been chosen for report purposes although this means that some cases do not fit the normal reporting cycle.

3.3 For 2021/22 the following members served on Audit Committee:

Stephen Coleman (Chairman)	Tracey Coward (Vice Chairman)
Giles Archibald	Kevin Holmes
Pete Endsor	Kevin Lancaster
Matt Severn	

**4.0 Appendices Attached to this Report**

Appendix No.	Name of Appendix
1	Assessment of Compliance with Role of Head of Internal Audit

## 5.0 Consultation

5.1 The draft annual report will be considered by Audit Committee.

## 6.0 Alternative Options

6.1 The Committee's terms of reference require the Chairman to produce this report; its contents are subject to consideration by Members.

## 7.0 Implications

### Financial, Resources and Procurement

7.1 This report has no direct financial implications.

### Human Resources

7.2 This report has no direct human resources implications

### Legal

7.3 There are no legal implications arising from this report.

### Health and Sustainability Impact Assessment

7.4 Have you completed a Health and Sustainability Impact Assessment? No

7.5 If you have not completed an Impact Assessment, please explain your reasons: this report relates to a review of corporate governance and is considered to have no Health, Social, Economic and Environmental impacts.

7.6 Summary of Health and Sustainability Impacts

		Positive	Neutral	Negative	Unknown
<b>Environment and Health</b>	Greenhouse gases emissions		X		
	Air Quality		X		
	Biodiversity		X		
	Impacts of Climate Change		X		
	Reduced or zero requirement for energy, building space, materials or travel		X		
	Active Travel		X		
<b>Economy and Culture</b>	Inclusive and sustainable development		X		
	Jobs and levels of pay		X		
	Healthier high streets		X		
	Culture, creativity and heritage		X		
<b>Housing and Communities</b>	Standard of housing		X		

		Positive	Neutral	Negative	Unknown
	Access to housing		X		
	Crime		X		

### Equality and Diversity

7.7 Have you completed an Equality Impact Analysis? No

7.8 If you have not completed an Impact Analysis, please explain your reasons: this report relates to a review of corporate governance and is considered to have no Equality and Diversity impacts.

7.9 Summary of Equality and Diversity impacts

Please indicate: P = Positive impact; 0 = Neutral; N = Negative; Enter "X"						
Age	P		0	X	N	
Disability	P		0	X	N	
Gender reassignment (transgender)	P		0	X	N	
Marriage & civil partnership	P		0	X	N	
Pregnancy & maternity	P		0	x	N	
Race/ethnicity	P		0	X	N	
Religion or belief	P		0	X	N	
Sex/gender	P		0	X	N	
Sexual orientation	P		0	X	N	
Armed forces families	P		0	X	N	
Rurality	P		0	X	N	
Socio-economic disadvantage	P		0	x	N	

Risk Management	Consequence	Controls required
No discernible risks in the production of the report		

### Contact Officers

Helen Smith, Finance Lead Specialist (Section 151), South Lakeland District Council, 01539 793333, h.smith@southlakeland.gov.uk

### Background Documents Available

Name of Background document	Where it is available
None	

### Tracking Information

Signed off by	Date sent	Date Signed off
Section 151 Officer	N/A	N/A
Monitoring Officer	N/A	N/A
CMT	N/A	N/A

<b>Circulated to</b>	<b>Date sent</b>
Lead Specialist	N/A
Human Resources Lead Specialist	N/A
Communications Team	N/A
Leader	N/A
Committee Chairman	N/A
Portfolio Holder	N/A
Ward Councillor(s)	N/A
Committee	21/04/2022
Executive (Cabinet)	N/A
Council	N/A